

JC688 U.S. PTO  
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PATENT  
0505-4001  
Express Mail Label No.  
EK052444438US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, DC 20231

JC530 U.S. PTO  
09/546031  
04/10/00

Sir:

Transmitted herewith for filing is the patent application of:

Named Inventor(s) and Address(es): Christopher Keith  
105 Hudson Street Apt. 5S, New York, New York 10013

For: TRADING SYSTEM WITH ELFS AND UMPIRES

Enclosed are

- ☒ 19 pages of specification, 1 page of Abstract, 3 pages of claims 1-16
- ☒ 5 sheets of drawings ☐ formal ☒ informal
- ☒ 1 pages of Declaration and Power of Attorney
  - ☐ unsigned ☒ newly executed ☐ copy from prior application
  - ☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)
- ☐ Claim for Priority
- ☐ Certified copy of Priority Documents ☐ English translation
- ☐ Information Disclosure Statement ☐ copy of        cited references
  - ☐ copy of PTO-1449 filed in parent application serial no.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard
- ☐ Assignment papers (assignment cover sheet and assignment documents)
  - ☐ a check in the amount of \$40.00 for recording the Assignment
  - ☐ Assignment papers filed in parent application serial no.
  - ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b)
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part (CIP)
  - of prior application serial no.
  - ☐ Cancel in this application original claims        of the parent application before calculating the filing fee (at least one original independent claim must be retained for filing purposes).
  - ☐ A Preliminary Amendment is enclosed, adding claims numbered consecutively after the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:
  - ☐ A Petition For Extension of Time and proper Fee has been or is being filed in the parent application to extend the term for action in the parent application until                     .
  - ☐ A copy of the Petition For Extension of Time in the co-pending parent application is attached.
  - ☐ No Petition For Extension of Time is necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the Petition For Extension of Time in that application is granted and while this application is pending or has been granted a filing date, so as to make the application co-pending.
  - ☐ Transfer the drawings from the parent application to this application.
- ☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part (CIP) of co-pending application serial no.                      filed                     .

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**BOOKS RECEIVED**

	No. Filed	No. Included in Basic Fee	No. Extra	Rate per Claim	TOTAL
Basic Fee					345
Total Claims	16	20		9	
Independent Claims	3	3		39	
Multiple Dependent Claims				130	
TOTAL					345

☒ A check in the amount of \$ 345.00 in payment of the application filing fee is attached.

Date: April 10, 2000

CORRESPONDENCE ADDRESS:

**Brenda Pomerance**  
260 West 52 St. Apt. 27B  
New York, New York 10019  
(212) 245-3940

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Christopher Keith

Filed : Herewith

Group Art Unit:

Examiner :

For : TRADING SYSTEM WITH ELFS AND UMPIRES

**EXPRESS MAIL CERTIFICATE**

Express Mail Label No: EK052444438US

Date of Deposit: April 10, 2000

I hereby certify that the following attached paper(s) and/or fee

1. Application including 19 pg. specification, 1 pg. Abstract, 3 pg. claims
2. 5 sheets drawings
3. Declaration, signed
4. Statement Claiming Small Entity Status
5. Application Fee Transmittal
6. Check for \$345.00
7. Return receipt postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Brenda Pomerance  
Brenda Pomerance

CORRESPONDENCE ADDRESS:

Brenda Pomerance  
260 West 52 St. Apt. 27B  
New York, NY 10019  
212 245-3940

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